

# **Complaints Policy**

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The SCA's complaints procedure is intended to ensure that all complaints are handled fairly, consistently and wherever possible resolved to the complainant's satisfaction. This policy will outline who you should contact and the next steps.

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We work hard to get things right. However, if you have a complaint about your experience with the SCA, please let us know. We regard complaints as an opportunity to review and improve.

## Reporting a Safeguarding Concern

If you have a Safeguarding concern, please contact the <u>SCA Safeguarding Officer</u> (further details are on the <u>Safeguarding page</u> of the SCA website: https://www.canoescotland.org/resources/safeguarding

If you need to speak to someone outside of office hours, you can also call Children 1st on 0131 446 2300 or, if someone is in immediate danger, call the police on 999. Further information can also be found on the SCA website.

## Reporting a complaint

We recommend reviewing the information below to ensure your complaint is addressed in the most effective manner and through the appropriate channels or organisations where applicable.

All complaints must be raised within three months of the event or within three months of the person complaining become aware of the event giving rise to the complaint.

#### Complaints relating to SCA competitions and events

In the event of a complaint concerning the application of competition or event rules the relevant discipline committee should be contacted as they administer these rules.

#### Complaints involving employed SCA staff

If a complaint involves an SCA employee your complaint will be referred to the <u>SCA CEO</u> who has responsibility for staffing matters to ensure that the relevant internal procedures are followed. In the case of a complaint about the CEO, this should be addressed to the <u>SCA General Secretary</u>, or in their absence, to the Chair.

### Complaints Procedure for all other SCA complaints

Where relevant, the most effective way to resolve an issue can be to raise it informally with the people involved. Where you do not consider this appropriate or if this does not resolve the issue, you can raise a formal complaint by emailing <a href="mailto:complaints@canoescotland.org">complaints@canoescotland.org</a>.



Any Board member or Staff member who receives a complaint directly should forward to <a href="mailto:complaints@canoescotland.org">complaints@canoescotland.org</a> in order for the complaint to be correctly logged and actioned.

Please provide as much information as you can; it can be difficult for us to deal with a complaint with limited information. We may contact you to ask for further information so that we can fully investigate your complaint.

If you raise a formal complaint to the SCA, we will aim to:

- · acknowledge your complaint within five working days
- investigate the cause(s) of your complaint as promptly as possible
- resolve your complaint
- provide a full written response within two weeks if we cannot respond to you within two weeks, we will let you know

## **Escalating a Complaint**

If you are not satisfied with our response, you can request for your complaint to be escalated. You must do this within 14 days of the date of your complaint response being issued.

Your complaint will be reviewed by one or more people that have not been involved in the original consideration. It could be a member of staff, another individual or a panel of two or more individuals, appointed to carry out this task.

This person or panel will aim to provide you with a final response within four weeks of the referral. Please note that this decision will be final.

## Scope

This procedure does not apply to complaints about other organisations such as clubs that are affiliated to the SCA. We recommend that such complaints should be submitted to the relevant organisation and that their processes and timelines for handling complaints should be ascertained.

SCA is responsible for setting and maintaining the standards of service delivery to our members, stakeholders and the wider public and is committed to dealing with any complaint equitably, comprehensively and in a timely manner



#### **Unreasonably Persistent or Vexatious Complaints**

SCA does not expect employees or key volunteers to tolerate unacceptable behaviour by complainants at any time during the general complaints procedure or disciplinary process. Unacceptable behaviour includes behaviour which is abusive, offensive or threatening and may include:

- Using abusive or inappropriate language on the telephone or face to face
- Sending multiple emails
- Leaving multiple voicemails

Raising legitimate queries or criticisms of a complaints procedure as it progresses, e.g. if agreed timescales are not met, should not in itself lead to someone being regarded as a vexatious or an unreasonably persistent complainant.

Similarly, the fact that a complainant is unhappy with the outcome of a complaint and seeks to challenge it, in line with the procedure, should not necessarily cause them to be labelled vexatious or unreasonably persistent.

SCA will take action to protect employees and key volunteers from inappropriate behaviour. If a complainant behaves in a way that is unreasonably persistent or vexatious, this procedure will be followed.

SCA defines unreasonably persistent and vexatious complainants as those complainants who, because of the frequency or nature of the complaints, hinder the work of the organisation. The description 'unreasonably persistent' and 'vexatious' may apply separately or jointly to a particular complainant. Examples include the way or frequency that complainants raise their complaint with employees, or how complainants respond when informed of a decision about their complaint. Features of an unreasonably persistent and/or vexatious complainant are listed below. The list is not exhaustive, nor does one single feature on its own necessarily imply that the person will be considered as being in this category.

An unreasonably persistent and/or vexatious complainant may result from a combination of some or all of these features:

- have insufficient or no grounds for their complaint and be making the complaint only to annoy (or for reasons that he or she does not admit or make obvious);
- refuse to specify the grounds of a complaint despite offers of assistance;
- refuse to cooperate with the complaints investigation process or disciplinary investigation process while still wishing their complaint to be resolved;
- refuse to accept that issues are not within the remit of the general complaints or
  policy and procedure or disciplinary regulations despite having been provided with
  information about the scope of the policies and procedures;



- refusal to accept that issues are not within the power of SCA to investigate, change
  or influence (examples could be a complaint about sportscotland, or something that
  is the responsibility of another organisation);
- insist on the complaint being dealt with in ways which are incompatible with the complaints procedure, the disciplinary regulations or with good practice (e.g. that there must not be any written record of the complaint);
- make what appear to be groundless complaints about the person dealing with the complaint(s) and seek to have them dismissed or replaced;
- make an unreasonable number of contacts by any means in relation to a specific complaint or complaints;
- make persistent and unreasonable demands or expectations of staff and/or the
  complaints process after the unreasonableness has been explained to the
  complainant (an example of this could be a complainant who insists on immediate
  responses to numerous, frequent and/or complex letters, faxes, telephone calls or
  emails);
- raise numerous subsidiary or new issues whilst a complaint is being addressed that were not part of the complaint at the start of the complaint process;
- introduce trivial or irrelevant new information whilst the complaint is being investigated and expect this to be taken into account and commented on;
- change the substance or basis of the complaint without reasonable justification whilst the complaint is being addressed;
- adopt an excessively 'scattergun' approach, e.g. pursuing a complaint or complaints not only with SCA, but at the same time with sportscotland, the Scottish Government, a Member of Parliament, their local council, elected councillors, the police, solicitors and/or any other body;
- refuse to accept the outcome of the complaint process or disciplinary process after its conclusion, repeatedly arguing the point, complaining about the outcome, and/or denying that an adequate response has been given;
- make the same complaint repeatedly, perhaps with minor differences, after the complaints procedure or disciplinary procedure has been concluded and insist that the minor differences make these 'new' complaints which should be put through the full complaints or disciplinary procedure;
- persistently approach SCA through different routes about the same issue;
- persist in seeking an outcome which has been explained is unrealistic for legal or policy (or other valid) reasons;
   refuse to accept documented evidence as factual;
- complain about or challenge an issue based on a historic and irreversible decision or incident.

If it is suggested that a complainant is acting in an unreasonably persistent or vexatious way the either the CEO, another member of the senior management team or the Chair will ensure that the complaint is being, or has been, investigated properly according to the complaints procedure or the disciplinary regulations before any further action is taken.

The CEO or another appointed person will contact the complainant in writing or by email to explain why their behaviour is causing concern and ask them to change this behaviour. They will explain the actions that SCA may take if the behaviour does not change.



If the disruptive behaviour continues, they will issue a reminder letter to the complainant advising them that the way in which they will be dealt with by SCA in future will be restricted. The CEO or other appointed person will make this decision following consultation with the Chair and inform the complainant in writing of what measures have been put in place and for what period.

Any restriction that is imposed on the complainant's contact will be appropriate and proportionate and the complainant will be advised of the period of time the restriction will cover. In most cases restrictions will apply for between 3 and 12 months but in exceptional cases may be extended. In such cases the restrictions would be reviewed on a 6 monthly basis.

Restrictions will be tailored to deal with the individual circumstances of the complainant and may include:

- prohibiting the complainant from making contact by telephone except through a third party acting on their behalf;
- prohibiting the complainant from sending emails to individual and/or all employees or volunteers and insisting they only correspond by letter;
- requiring contact to take place with one named member of staff only;
- restricting telephone calls to specified days/times/duration;
- requiring any face-to-face contact to take place in the presence of an appropriate witness;
- letting the complainant know that SCA will not reply to or acknowledge any further contact from them on the specific topic of that complaint (in this case, a designated member of staff may be identified who will read future correspondence)

When the decision has been taken to apply this policy to a complainant, the CEO or appointed person will contact the complainant in writing (and/or as appropriate) to explain:

- why SCA has taken the decision;
- what action(s) are being taken;
- the duration of that action:
- the right of the complainant to contact the Board of Directors about the fact that they have been treated as a vexatious/persistent complainant;

Where the behaviour is so extreme or it threatens the immediate safety and welfare of staff and/or volunteers SCA will consider other options, e.g. reporting the matter to the police or taking legal action. In such cases, SCA may not give the complainant prior warning of that action. In every case, full and complete records of all decisions and actions will be maintained in line with the SCA Data Protection Policy and Privacy Notice.